



Rules for Achieving IATF Recognition 4th Edition Sanctioned Interpretations

The *Rules for Achieving and Maintaining IATF Recognition 4th Edition for ISO/TS 16949* (“*Rules 4th Edition*”) was published in October 2013 and is effective since 1 April 2014. The following Sanctioned Interpretations were determined and approved by the IATF. Unless otherwise indicated, Sanctioned Interpretations are applicable upon publication.

Revised text is shown in blue.

A Sanctioned Interpretation changes the interpretation of a rule or a requirement which itself then becomes the basis for a nonconformity.

SI 1 – 8 issued in April 2014

Number	Rules reference	Sanctioned Interpretation
1.	Eligibility for Certification to ISO/TS 16949 1.0	ISO/TS 16949 is applicable to all sites of a client where customer-specified production parts and/or service parts are manufactured. “Customer-specified production parts” shall be understood as parts that are an integral part of a vehicle. The only customer-specified parts that do not meet this requirement but are to be included are the following: fire extinguisher, car jacks, floor mats, and owner’s manual .
2.	Eligibility for Certification to ISO/TS 16949 1.0	At the request of the client to the CB, the client may exclude a site meeting the eligibility requirements of ISO/TS 16949 and who supplies customer-specified production parts to automotive customers not requiring 3rd party certification to ISO/TS 16949.
3.	Audit report 5.10	The draft audit report shall include a description of all nonconformities, opportunities for improvement (see section 5.9), and the audit team recommendation to the certification body decision function. In situations where major nonconformities are issued, the audit team shall inform the client of the required next steps and timings of the certificate decertification process as applicable.

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4.	<p data-bbox="367 427 577 531">Nonconformity management 5.11</p> <p data-bbox="367 687 577 751">Special Audits 7.2</p>	<p data-bbox="636 165 2040 304">In exceptional case(s) where the implementation of corrective actions cannot be completed within a maximum of ninety (90) calendar days from the closing meeting of the site audit, the certification body shall consider the nonconformity open, but 100% resolved when the following conditions have been met:</p> <p data-bbox="636 339 2024 459">5.11.2 c) scheduled onsite follow-up special audit based on the accepted action plan and prior to the next audit (see section 7.2),</p> <p data-bbox="636 501 1010 531">5.11.3 Onsite Verification</p> <p data-bbox="636 555 2069 659">A major nonconformity shall require onsite verification of the corrective action. The onsite verification shall be considered a special audit (see section 7.2) and shall be completed within a maximum of ninety (90) calendar days from the closing meeting of the site audit.</p> <p data-bbox="636 703 898 734">7.2 Special Audits</p> <p data-bbox="636 758 2069 1050">It may become necessary for the certification body to conduct audits of certified clients to investigate performance complaints (see section 8.1 a/b), in response to changes to the client's quality management system (see section 3.2), significant changes at the client's site, as a result of a suspended certificate (see section 8.3), to verify the effective implementation of identified corrective actions for major nonconformities (see section 5.11.3), or to verify the effective implementation of identified corrective actions for nonconformities considered open, but 100% resolved (see section 5.11.2 c). A special audit and the reason for the special audit shall be entered into the IATF database within twenty (20) calendar days from the closing meeting of the audit.</p>
5.	<p data-bbox="394 1219 551 1283">Audit days 5.2 h)</p>	<p data-bbox="636 1099 2069 1166">If a portion of the site is dedicated to automotive, then the headcount from that portion can be used to determine audit time when the following conditions are met:</p> <p data-bbox="636 1185 1043 1216"><i>Current wording of 4th bullet:</i></p> <ul data-bbox="685 1240 1599 1270" style="list-style-type: none"> - all support activity personnel are included in the headcount, <p data-bbox="636 1313 1055 1343"><i>Revised wording of 4th bullet:</i></p> <ul data-bbox="685 1367 1682 1398" style="list-style-type: none"> - the same ratio should be applied to the support activity head count,

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6.	Letter of Conformance 5.14.4	<p><i>Current wording:</i> For this audit cycle, a different audit team shall be assigned from the team that performed the initial audit for the letter of conformance (see section 5.6)</p> <p><i>Revised wording:</i> For this audit cycle, a member of the audit team that performed the initial audit for the letter of conformance should be assigned.</p>
7.	Audit plan 5.7.2	The audit team leader shall ensure that the audit plan meets the requirements of section 5.7.2
8.	Misalignments	<p>Section 6.8: Recertification Audit</p> <p><i>Current wording:</i> The audit team leader shall establish an audit plan as defined in section 5.7.</p> <p><i>Revised wording:</i> A member of the audit team shall establish an audit plan as defined in section 5.7.</p>
	Misalignments	<p>Section 6.8.2: Information for granting recertification</p> <p><i>Current wording:</i> The certification body shall upload the certificate in the IATF database within twenty (20) calendar days of the certification decision.</p> <p><i>Revised wording:</i> The certification body shall upload the certificate in the IATF database within twenty (20) calendar days of entering the certification information.</p>

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	Misalignments	Section 3.2 g) <i>Current wording:</i> IATF subscribing OEM customer special status (see section 8.0). <i>Revised wording:</i> IATF OEM customer special status (see section 8.0).
	Misalignments	Section 8.1 b) <i>Current wording:</i> The client advises the certification body of a special status condition from an IATF subscribing OEM. <i>Revised wording:</i> The client advises the certification body of a special status condition from an IATF OEM.